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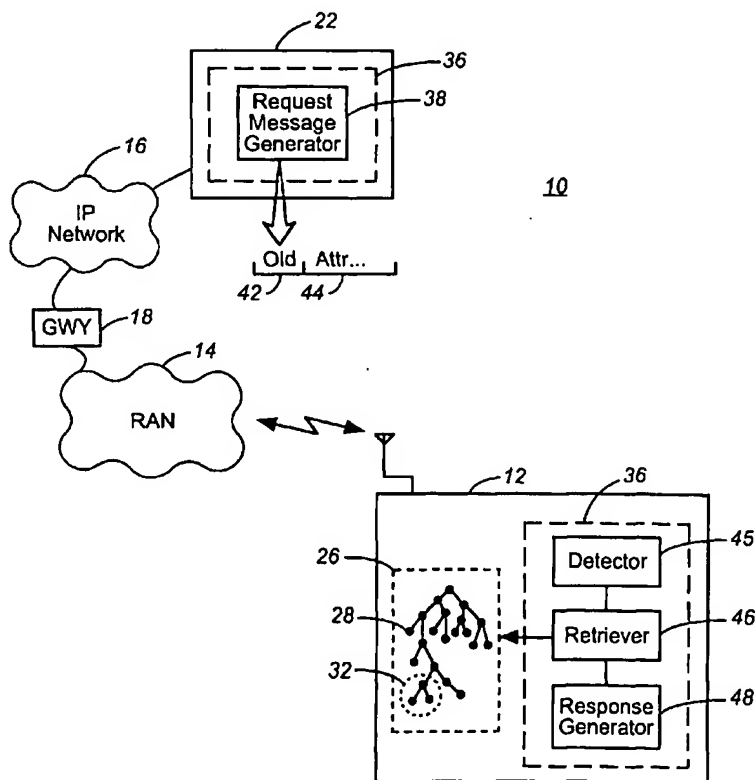
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(54) Title: APPARATUS, AND ASSOCIATED METHOD, FOR RETRIEVING MOBILE-NODE LOGIC TREE INFORMATION



(57) Abstract: Apparatus, and an associated method for facilitating exchange of configuration indicia associated with a mobile node (12) operable in a radio communication system (10). The mobile node is dynamically-configurable, and includes a logic tree (26). The indicia is provided to a network manager (22) responsive to request therefore or upon initiation by the mobile node. When initiated at the network, a request message generator (38) located at a network manager generates a get message to request that certain configuration indicia (32) be provided to the network manager (22). The mobile node (12), upon delivery of the get message thereto, retrieves the requested information, at a selected level of detail, and returns the indicia to the network manager.

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## **APPARATUS, AND ASSOCIATED METHOD, FOR RETRIEVING MOBILE-NODE LOGIC TREE INFORMATION**

### **CROSS-REFERENCE TO RELATED APPLICATIONS**

The present invention claims the priority of provisional patent application serial  
5 number 60/336,879, filed on December 3, 2001, provisional patent application serial  
number 60/350,669 filed January 22, 2002, and provisional application serial number  
60/384,517, filed on May 30, 2002.

The present invention relates generally to a network having a mobile node of a  
dynamically-alterable configuration, configurable at the mobile node, independent of a  
10 network manager. More particularly, the present invention relates to apparatus, and an  
associated method, by which to facilitate retrieval by, or other exchange to, the network  
manager of selected capability indicia representative of selected portions of the mobile-  
node configuration, or capability. Selected information related to a logic tree of the  
mobile node is retrieved from the mobile node and provided to the network manager.  
15 The information is retrieved either by request of the network or upon initiation by the  
mobile node. The information is provided to the network manager, efficiently, with  
reduced signaling overhead relative to existing manners by which information is  
conventionally provided to the network manager.

### **BACKGROUND OF THE INVENTION**

20 Advancements in communication technologies have permitted the development  
and installation of many various types of communication systems. Wireless  
communication systems are exemplary of communication systems that have benefited  
from the advancements in communication technologies.

In a wireless communication system, a radio link forms at least a portion of a  
25 communication path upon which communication signals are transmitted. A wireless  
communication system can be implemented as a mobile communication system as the  
radio link is substituted for a conventional wireline, otherwise required to complete the  
communication path upon which the communication signals are transmitted. And, when  
implemented as a mobile communication system, increased mobility of communication  
30 is, as a result, provided.

Network infrastructures of various types of wireless communication systems have, for instance, been installed throughout significant geographical areas. The network infrastructures of cellular communication systems, have been installed, available for usage by large numbers of subscribers to communicate therethrough.

5 Access to communicate by way of a cellular communication system is typically provided pursuant to purchase of a service subscription. In a cellular communication system, telephonic communication of both voice and data is provided pursuant to the service subscription.

10 In a conventional, cellular communication system, a subscriber thereto typically utilizes a mobile terminal that is formed of a radio transceiver. A radio transceiver is capable both of transmitting and of receiving radio signals communicated upon radio links formed between the mobile terminal and the network infrastructure of the communication system. The term user shall be used herein, generally to identify one utilizing the mobile terminal to communicate therethrough.

15 Increasingly, cellular communication systems are constructed to make use of digital communication techniques in which data that is to be communicated during operation of the communication system is communicated in digitized form. Processing circuitry is utilized to act upon the data, prior to its transmission as well as, also, subsequent to its reception.

20 A mobile terminal regularly is packaged in a housing of dimensions to permit the mobile terminal to be carried by the user. Various constructions of mobile terminals are of physical dimensions permitting the user thereof to carry the mobile terminal in a shirt pocket, or the like, conveniently to have the mobile terminal available at any time to place or to receive a call therethrough.

25 Because the mobile terminal utilizes processing circuitry, additional functions, in addition to the functions required to effectuate conventional communication operations, can be carried out by the mobile terminal. That is to say, the functionality of other types of devices can be incorporated into the mobile terminal. Information processing, and retrieval, functions are sometimes incorporated into a mobile terminal. And, mobile  
30 terminals are increasingly constructed to provide for multi-media communication services. Digital-video devices, such as digital cameras, are sometimes now

incorporated with a mobile terminal by which to collect digital data that subsequently is communicated by the transceiver circuitry of the mobile terminal.

The mobile terminal might well be adapted, subsequent to its initial manufacture or initial association with a cellular communication system to provide for other  
5 functionalities or otherwise to have its operational parameters changed. The alteration, adaptation, update of, or other change to the functionality of the mobile terminal might well be made independent of a network manager of the communication network in which the mobile terminal is operable. Network management of the mobile terminal, forming a mobile node in such a network, might not be able to be effectuated as a result of such  
10 alterations or changes.

The functionality of many mobile terminals, as well as other devices, are defined in terms of a management tree. The management tree is formed using one or more DDF (Device Description Framework) description of objects. Each DDF description is a logical grouping of related objects, all described in the same document. A tree is  
15 constructed, or initiated, using one or more of such DDF descriptions. Thus, all objects instantiated in a management tree comes from one of the DDF documents. And, while the DDF document for objects is common to all devices, the management tree need not be the same for all of the devices. As noted above, a digital camera, for example, might be added to the mobile node. The DDF for enabling a camera attachment to the mobile  
20 node might well be the same for mobile nodes of the same make. But, when the tree based on this DDF is created in each mobile node, it can get created at different locations in the management tree.

A network manager conventionally is able to obtain information associated with the management tree and, responsive thereto, to exert managerial control over the node.  
25 Existing management protocols are available by which to support the retrieval of the value, or attributes, of individual ones of the objects that define the management tree.

Existing communication protocols, such as SNMPv2, support a get-bulk message, for instance. A get-bulk message requests that the value of a collection of objects requested in the message, and a response thereto carries the values of each object in the  
30 same response message. And, a prior version of SNMP provided for get messages for individual objects, necessitating several get messages to obtain the same information

retrieved by a get-bulk message. SNMP, however, requires that prior knowledge be known by the requestor of the management tree structure of the device from which the information is requested. When a device is of a configuration that is alterable relative to an initial configuration, the necessary information cannot necessarily be retrieved through the use of SNMP protocols.

Another device management protocol is SYNC ML DM1.1 or a variant thereof, such as an updated version, i.e., SYNC ML DM1.1.1, or a subsequent revision thereof. An existing version of this protocol, however, supports only a get message procedure for retrieving the value of an object. To retrieve a collection of objects, this protocol requires several get messages, or a single get message listing all of the objects for which the information is requested. When the node with which the network manager is associated is a mobile node, such as a mobile terminal together with additional functionality, the need to send a plurality of get messages over a radio link extending to the mobile node, and the corresponding response messages generated responsive thereto, inefficiently utilizes the band width capacity of the radio link. And, a large get message, listing all of the required objects for which information is requested, also is inefficient as the message-size increases proportional with the number of objects for which information is requested.

Accordingly, existing protocols are inadequate for use by a network manager in conjunction with a dynamically-configurable mobile node. Any manner by which to provide a more efficient mechanism by which to facilitate retrieval or other exchange of tree information from a mobile node to permit a network manager to effectuate network management operations would be advantageous.

It is in light of this background information related to communications with a mobile node of a communication network that the significant improvements of the present invention have evolved.

### SUMMARY OF THE INVENTION

The present invention, accordingly, advantageously provides apparatus, and an associated method, for a network having a mobile node, of a dynamically-alterable configuration, configurable at the mobile node, independent of a network manager.

Through operation of an embodiment of the present invention, a manner is provided by which to facilitate retrieval by or other exchange to, the network manager, of selected capability indicia representative of selected portions of the mobile-node configuration, or capability.

5 In one aspect of the present invention, selected information related to a large tree of the mobile node is retrieved from the mobile node and provided to the network manager. The information is retrieved either by request of the network or upon initiation by the mobile node.

The information is provided to the network manager, efficiently, and with  
10 reduced signaling overhead relative to existing manners by which the information is retrieved.

In another aspect of the present invention, a network-positioned request generator operates to generate a request message. The request message forms a get command that includes fields identifying a portion of the logic structure of the mobile node of which  
15 information is to be requested. And, the request message further indicates a degree of detail by which the requested information is to be delivered to the network manager.

In another aspect of the present invention, the functionality of the mobile node is defined in terms of objects that are arranged in a logic tree structure. The logic tree structure typically includes subtrees. The logic tree is formed using one or more DDF  
20 (device, description, framework) description. A DDF is a document that describes objects. And, each subtree, if any, can be formed of one or more DDF descriptions. Or, for a given subtree, its DDF can be created. Because the configuration of the logic tree, and the subtrees, or subroots thereof, are dynamically alterable, without the interaction of the network manager, the tree structure of the mobile node is not necessarily known  
25 by the network manager. Further, the semantic meaning of the objects arranged in the tree structure might also not be known to the network manager. The request message generated by the request message generator of the network part identifies the object ID (identification) of the subtree. Once the request message is delivered to the mobile node, the mobile node determines the logical location of the object ID and then retrieves  
30 the requested information at the desired degree, or level, of detail. When returned to the

network manager, such information is used by the network manager to effectuate managerial control over the mobile node.

In another aspect of the present invention, the get message further includes a list of attributes, information related to which is to be requested pursuant to the generation  
5 of the get message. The list of attributes include, for instance, a value, a version number, or a description of an object described by the DDF description of the subtree. Thereby, such a message need only identify, e.g., by name or location or structure, and the attributes associated therewith, an object to permit the mobile node to retrieve such information and return the information to the network manager to permit the network  
10 manager to utilize such information thereafter to exert managerial control over the mobile node.

In another aspect of the present invention, when the mobile node retrieves the information contained in the get, or other request, message, the mobile node selectably performs tree compression algorithms to compress the tree, or subtree, information. For  
15 instance, tree linearization operations are performed to convert a multi-way tree into a binary tree. And, responsive to the desired degree of detail indicated in the request message sent to the mobile node, the requested tree information is returned to the network manager at the desired level of detail.

In one implementation, a mobile node is operable to communicate in a cellular  
20 communication system constructed in conformance with a CDMA (code-division, multiple, access) operating specification. In other implementations, the mobile node is operable to communicate pursuant to a UMTS (Universal Mobile Telephone Service), or other, mobile air interface standard. The mobile node forms a portion of a network that is managed by a network manager. The mobile node is dynamically configurable and  
25 also dynamically positionable in successive networks, all in manners such that the network manager is not necessarily aware initially of the configuration of the logic tree of the mobile node. The network manager generates a request message, a get message, for communication to the mobile node. The get message includes an object identifier, indicating the identity by name, location, or structure of an object of interest together  
30 with a list of attributes of such object about which information is desired. The message is communicated to the mobile node. When the mobile node detects delivery thereof of



the request message, the mobile node retrieves the desired information and generates a result message that is returned to the network manager. The network manager is able thereafter to manage better operations of the mobile node as the logical structure of the mobile node is known to the network manager.

5           In these and other aspects, therefore, apparatus, and an associated method, is provided for a radio communication system at which a communication network is defined. The communication network has a mobile node forming a portion thereof. The communication network is managed by a network manager. Retrieval by the network manager of capability indicia of the mobile node is facilitated. The capability indicia is  
10       representative of a dynamically alterable mobile node capability related to objects maintained thereat. The mobile node capability is alterable independent of the network manager. A network-positioned request generator is operated by the network manager. The network-positioned request generator generates a request message for communication to the mobile node. The request message requests the capability indicia  
15       related to a selected set of the objects maintained at the mobile node and requests the capability indicia to be provided at a selected level of detail.

A more complete appreciation of the present invention and the scope thereof can be obtained from the accompanying drawings that are briefly summarized below, the following detailed description of the presently-preferred embodiments of the invention,  
20       and the appended claims.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 illustrates a functional block diagram of a radio communication system in which an embodiment of the present invention is operable.

Figure 2 illustrates a functional block diagram, similar to that shown in Figure 1,  
25       but here representative of a change in the network manager that manages operation of the mobile node from a network manager positioned at a first network server to a network manager positioned at a second network server.

Figure 3 illustrates a functional block diagram, similar to those shown in Figures 1 and 2, but here representative of operation in which multiple, hereto, network  
30       managers.

Figure 4 illustrates a functional block diagram, similar to those shown in Figures 1-3, but here representative of a scenario in which the mobile node roams out of a first network and into a second network.

Figure 5 illustrates a message sequence diagram showing signaling generated during operation of an embodiment of the present invention.

Figure 6 illustrates a message sequence diagram showing signaling generated during operation of another embodiment of the present invention.

Figure 7 illustrates a message sequence diagram showing signaling generated during operation of a further embodiment of the present invention.

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### DETAILED DESCRIPTION

Referring first to Figure 1, a radio communication system, shown generally at 10, provides for radio communications with a mobile node 12. In the exemplary implementation, the radio communication system forms a multiple-access communication system permitting large numbers of mobile nodes to communicate therewith, such as a CDMA (code-division, multiple-access) cellular communication system that here provides for packet-based communications. While the following description shall describe exemplary implementation of an embodiment of the present invention with respect to a cellular communication system, the communication system 10 is also representative of any of various other types of radio communication systems. Accordingly, while the following description shall describe exemplary operation of an embodiment of the present invention with respect to its implementation in a cellular communication system, the teachings of the present invention are analogously also implementable in other types of communication systems.

The communication system includes a network, here formed of a radio access network 14 and a packet data network, here an IP network 16. The radio access network and the IP network are interconnected by way of a gateway (GWY) 18. And, here, a device management (DM) server 22 is coupled to the IP network. The DM server forms a network manager for managing a network of which the mobile node 12 selectably forms a portion. The network managed by the IP network manager formed of the DM server 22 forms, for instance, a virtual private network (VPN).

The mobile node is represented functionally by a tree 26 of objects 28. The logic tree is here formed of groups of subtrees, of which the subtree 32 is representative. The subtree 32 is formed of at least two nodes. The logic tree, or any subtree thereof, is formed using one or more DDF description of objects. The logic tree is sometimes also referred to as a root directory, and a subtree thereof is sometimes referred to as being a subroot of the root directory. And, some of the objects are defined to be leaf objects that carry values. Such values are sometimes also referred to as being interior objects, or nodes.

The mobile node is configurable, or reconfigurable, independent of the network manager of the network of which the mobile node selectably forms a portion. Configuration or reconfiguration of the mobile node affects the logic tree 26 thereof. That is to say, the arrangement of, and the DDF description of objects contained in, the logic tree of the mobile node are dynamically alterable, independent of the network manager of the network of which the mobile nodes selectably forms a portion. To properly effectuate managerial control over the mobile node, the network manager of the network of which the mobile node forms a portion, the network manager must be able to ascertain indicia associated with the logic tree, or portions thereof, of the mobile node. Existing manners by which the network manager obtains the indicia associated with the objects of a node, such as in a conventional wired network, create an excessive amount of signaling overhead when used in conjunction with a mobile node necessitating a connection by way of a radio link.

In one implementation, the desired logic tree information is obtained upon request of the network. In another implementation the information is provided upon initiation by the mobile node. The server 22 forming the network manager includes apparatus 36 of an embodiment of the present invention. The apparatus 36 includes a request message generator 38 that operates to generate a get message to request indicia associated with selected objects, subtrees, or other portions of the logic tree defined at the mobile node. The signaling overhead associated with the request message, and retrieval of indicia responsive thereto, is reduced relative to existing manners by which such indicia is obtainable by a network manager. The need otherwise to utilize a conventional get-bulk message or utilize a new-protocol message is obviated. Through

generation of the get message by the request message generator, the signaling overhead on the radio link is reduced relative to conventional generation of get messages that necessitate a separate get message for each object of the mobile node or a get bulk message to retrieve indicia associated with every object of the logic tree of the mobile node. The request message generator generates a get, i.e. request, message, formatted to include the object identity (OID) 42 followed by a string, or list, of attributes (ATTR) 44. Once generated and formatted, by a formatter, into the form indicated in the figure, the message is routed through the network part of the communication system and sent by way of the radio link to the mobile node.

Once delivered to the mobile node, additional apparatus 36 of an embodiment of the present invention is positioned to detect, by way of a detector 45, the get message sent thereto. The apparatus 36 further includes a retriever 46 operable responsive to detection by the detector 44. The retriever operates to retrieve the indicia contained in the get message. That is to say, the attributes associated with the selected objects are retrieved. The listing of attributes also define the level of detail by which the indicia associated with the selected objects are to be retrieved.

The apparatus 36 also includes a response generator 48 for generating a response containing the information retrieved by the retriever. The response generator includes a formatter for formatting the response generated by the generator in a desired format, such as an SYNC format. The response is returned by the mobile node to the network manager. When received at the network manager, the network manager is provided with the indicia to permit the network manager better to effectuate control over the mobile node. Updated information associated with the logic tree 26 of the mobile node is thereby provided to the network manager.

The objects are identifiable, for instance, in terms of their respective URL or URI values.

In an alternate implementation, the mobile node operates to notify the network manager of changes to the logic tree structure of the mobile node upon effectuation of such changes to the logic tree. A get message need not be sent to the mobile node to initiate generation of such a message. Automatic message generation and transmission is performed, for instance, immediately subsequent to the creation of new objects at the

beginning of a mobile-node-initiated management session. As changes can occur independent of the management server, the mobile node is in a position to know about these changes and inform the management server of these changes and also where the changed tree can be retrieved from a network location, e.g., from the entity making these changes in the mobile node. Or, the mobile node may send the modified tree directly to the management server. In the latter case, the changes, including the tree structure are sent. This is implementable in various manners. For example, in one implementation, a trigger is generated in the mobile node after the tree changes in the mobile node. Or, the mobile node is programmed to automatically send the tree, or the location where the tree can be retrieved in the network, to the management server in a visited network when roaming. This, e.g., can be part of the business model where an agreement exists between a home network and a visited network to permit continuous management of a mobile node. Also, it can be programmed in the mobile node to send merely changes in desired subtrees. It is also possible to send the semantic meaning or reference of objects to the management server. This can be a reference to a well-defined standard. For example, the object called 'PRL' can be reference to a section in a CDMA standard. And, there can be more than one management server managing a given mobile node. For example, the CDMA management server for CDMA radio access management is formed of any of various application management servers are available for managing respective applications, corporate management servers for corporate mobile nodes, etc. In each case, only subtrees relevant to each management server are updated by the mobile node, either following a request or automatically by the mobile node.

Figure 2 again illustrates the communication system 10. Again, the mobile node 12 operates to communicate by way of a radio link with a network part of the communication system, formed of a radio access network 14 and an IP network 16, connected theretogether by way of a gateway 18. Here, the communication system further includes object servers 58 and 62. The object servers are connected to the IP network and each of the servers 58 and 62 are separately operable to add to the logic tree or otherwise change the configuration of the tree structure of the mobile node. That is, the servers 58 and 62 may add objects for storing the URL or URI of the locations where the respective parts of the logic tree 26, updated by the servers, is stored in the

network. A management server 22 thereafter is able to use a management protocol message to obtain the values of the location objects, i.e., the URI values, and use the URI values to retrieve the management tree from the network. In this manner, radio link communications are obviated.

5 Alternately, and as described hereinabove, the network manager obtains the location from the mobile node, and the mobile node sends the location in a management protocol message upon changes in the logic tree, such as through additions of new objects at the mobile node, or other changes in the configuration thereof. Again, the sending of the management protocol message is, for instance, generated immediately  
10 after creation of the new objects, or other reconfiguration of the logic tree of the mobile node at the beginning of a client-initiated management session.

Figure 2 further illustrates a second device management server 64. A second network manager, associated with a second network is embodied at the DM server 64. The mobile node is capable of changing networks with which the mobile node is  
15 associated. And, here, the second network manager embodied at the DM server 64 is representative of the network manager of the second network to which the mobile node selectably becomes associated. And, when the mobile node changes networks, the new network manager, i.e., the new management server formed of the DM server 64, should be updated with the relevant part of the logic tree 26 of the mobile node.

20 In one implementation, the mobile node sends the relevant part of the logic tree to the network manager embodied at the DM server 64. The mobile node sends indicia of the relevant part of the tree, for instance, immediately upon boot strapping into the network, in the beginning of a session. Or, the indicia identifying the logic tree is sent as a response to a get message generated by the second network manager.

25 In another implementation, after initial boot strap, operations are performed between the mobile node and the second network manager, the mobile node sends to the DM server 64 at which the second network manager is embodied, the URI to the location at which the logic tree of the mobile node is stored. The network manager subsequently utilizes the URI to retrieve the relevant part of the management tree. In  
30 the figure, a server 68 stores values representative of the logic tree of the mobile node. The server 68 is identified by a URI. The mobile node sends the URI, as just-described,

to the second network manager. And, the second network manager accesses the server 68 to retrieve the desired information, as indicated in a message request.

Figure 3 again illustrates the communication system 10 in which a mobile node 12 communicates by way of a network part formed of a radio access network 14 and the IP network 16. Again, DM servers 22 and 64 are connected to the IP network, and each of the servers have, embodied thereat, network managers that manage separate networks. Here, the mobile node is managed by the network managers embodied at each of the servers 22 and 64. Here, the network manager embodied at the server 22 manages a first part of the logic tree 26 of the mobile node, and the network manager embodied at the second server 64 manages a separate part of the logic tree of the mobile node. In this type of operation, the network managers each are separately operable to generate get messages, as described herein. But, as only portions of the logic tree are of interest to each of the network managers, the individual network managers request information associated only with the portions of the logic tree relative to the respective network managers.

Figure 4 again illustrates a representation of the communication system 10 in which the mobile node 12 communicates by way of a network part including a radio access network 14 and an IP network 16 connected together by way of the gateway 18. Here, the mobile node 12 roams between an initial position and a subsequent position. Here, the radio access network is identified by a first radio access network 14-1 and a second radio access network 14-2. And, a gateway (GWY) 18-1 interconnects the first radio access network with the IP network, and a second gateway (GWY) 18-2 interconnects the second radio access network with the IP network. Here, the first DM server 22 at which the first network manager is embodied is representative of a network manager of a home network with which the mobile node is selectably operable. And, the second DM server 64 at which the second network manager is embodied is representative of a visited-network, network manager.

Upon repositioning of the mobile node with the visited network, the mobile node sends the URI of a location, here the server 68, at which a management tree associated with the logic structure of the mobile node is stored. The network manager of the

visited network thereafter accesses the server 68 to obtain desired information associated with the logic structure of the mobile node.

In an alternate implementation, subsequent to initial boot strap operations with the mobile node, the network manager of the visited network can request the URI by sending a management protocol message to the mobile node. And, the mobile node  
5 replies to the management protocol message with the identity of the URI.

Figure 5 illustrates a message sequence diagram, shown generally at 76, of signaling generated during operation of the communication system 10. Here, the network manager embodied at the DM server 22 generates a get message, indicated by the segment 78, and sends the get message to the mobile node. Here, the get message  
10 includes the URIs of subtree nodes and attribute sets for each node for which information is requested. When the message is delivered to the mobile node, the mobile node traverses each node indicated in the get message and represents the subtree represented by such nodes starting at each node in a form in a manner permitting a  
15 response to be formed. A result message is generated, here indicated by the segment 82, that is returned to the network manager. The values contained in the result contain indicia associated with the attributes of the nodes indicated in the get message. Attributes can, for instance, be algorithms used for encoding and compressing the subtree object, size of the subtree object, etc.

20 The mobile node is capable of dynamically forming a representation of the requested collection of subtrees, then encode and depress the dynamically-formed data, so that the final data is sent as a response to the get message. Standard algorithms for encoding and compressing the logic trees and data associated with the logic trees can be utilized. The mobile node is further selectably operable to place the encoded and  
25 compressed data as an object in the management tree. Such creation of the object is performed dynamically. Additionally, attributes such as names of algorithms used for tree compression and encoding, the size of data, and other attributes can also be associated with objects of the logic tree. And, the mobile node also is capable of sending the address of the location in the logic tree at which the data object is stored.  
30 The mobile node is also capable of sending the attributes of the object, if requested. The address is, for instance, the URI of the location of the object in the tree. Generally,



a request containing the URI of all required objects is not efficient as it can be a large message. On the other hand, just one URI representing the subtree can be used in the request. As a result all of the objects are returned at the selected level of detail.

Figure 6 illustrates a message sequence diagram, shown generally at 84, also  
5 representative of signaling generated during operation of an embodiment of the present invention. Here, the network manager 22 generates get messages for communication to the mobile node. And, the mobile node generates responses thereto. Here, a get message, indicated by the segment 86, is generated. The get message includes the URIs of subtree nodes and attributes sets for each node in the list. Here, the indication a1 is a  
10 set of attributes for the node n1, and the attribute a2 is a set of attributes for the node n2.

Upon delivery of the get message to the mobile node, the mobile node traverses each node contained in the get message and represents the subtree starting at each node in a form that can be sent in a response message, here indicated by the result (URI)  
15 message 88. Additional get and result messages formatted in other manners, are indicated by the segments 92 and 94.

The mobile node is capable of splitting a data object into multiple units, and store the multiple units as individual objects in the management tree of the mobile node. The splitting is based upon a maximum size allowed in a response message sent by the  
20 mobile node to the network manager. These objects can be grouped under the same parent node in the mobile node. The attribute in this scenario can also be a sequence number giving the order of splitting the large data object. And, encoded and compressed subtrees can be sent in response to a get message. The subtree, or collection of objects, is represented, for instance, using XML, and then encoded using binary  
25 encoding for XML. Also, the data can be compressed using data compression algorithms, thereby to permit compressed data to be sent in response to the get message or put as the value of a dynamically-created node in the management tree of the mobile node. The subtree, or collection of objects, can also be compressed using a tree-compression algorithm. And, the output of the tree-compression algorithm can further  
30 be compressed using a data-compression algorithm. The compressed data is sent in the

response to the get message generated by the network manager or placed as a value dynamically-created node in the logic tree.

The network manager can also utilize an SNMP or SYNC ML get message, depending upon the preferred device-management protocol. Other management protocols are similarly utilizable.

Figure 7 illustrates a message sequence diagram, shown generally at 102, representative of signaling generated during operation of a further embodiment of the present invention. Here, Sync ML (Sync Markup Language) protocols are used in the communication system. And, the mobile node is here shown to be formed of a mobile-station, Sync ML DM (Device Management) Client device 12-1 and a legacy-standard client device 12-2. The legacy-standard client device is here operable pursuant to the operating protocols of the IS-683 (Interim Standard-683) promulgated by the TIA/EIA (Telecommunications Industry Alliance/Electronics Industry Alliance. The IS-683 standard pertains to a CDMA (code-division, multiple-access) system.

In this embodiment, device management capabilities, e.g., the protocols used by, and capabilities of, the mobile node are negotiated by way of the radio link formed with the network. And, the network is here shown to include a legacy server/OTAF (over the air function) 58 and Sync ML DM server 64-1. Here, the legacy server/OTAF 58 is an IS-683 compliant device.

IS-683 devices are limited to signaling protocol and management features that are specified in the IS-683 operating specification. And, some carriers, i.e., system operators of radio communication systems, implement IS-683 standards for device management. But, carriers also sometimes want a method for managing devices in such systems through the use of Sync ML signaling. Flexibility of adding new management features, amongst other advantages, are provided through the use of Sync ML signaling.

Through operation of a further embodiment of the present invention, a manner is provided by which to send Device Information (DevInfo) for management of CDMA devices, here, e.g., the mobile node 12. And, through such operation, a manner is provided to the server 58 by which to identify the preferred protocol to effectuate the management of a CDMA device, identify the management capabilities of the device, and also uniquely identify the device for device management purposes. That is to say,

operation of this further embodiment of the present invention, e.g., assists the DM server 64 to learn of the process/server at the mobile node 12 that handles a specific management request in a dynamic way. And, e.g., operation of the embodiment of the present invention permits the SyncML DM protocol to have backwards compatibility with a legacy, over-the-air function (OTAF), such as the IS-683 standard. This assists carriers who currently otherwise make use of IS-683 protocols to integrate their respective device management systems with the SyncML DM system. Also, e.g., through operation of this further embodiment of the present invention, a CDMA device is uniquely identified for device management purposes. And, e.g., operation of this embodiment of the present invention facilitates the SyncML DM server 64 to perform device management using the protocol of preference of the mobile node.

The device information (DevInfo) is here bearer specific information that is sent by the mobile node 12 to the management server 58. Such bearer specific device information facilitates the ability of the server to be aware of the features and protocols that are supported for managing bearer-dependent features.

While the signaling indicated in the message sequence diagram is representative of signaling generated in a CDMA system, the operation is analogously applicable to other types of communication systems in which Sync ML protocols are used in conjunction with legacy-system devices. Analogous description of operation can also, accordingly, be described with respect to other types of communication systems. And, while this description of exemplary signaling illustrates a manner by which CDMA DevInfo is used to identify the process/server at the mobile node 12 that handles a specific management task, as well as how the CDMA DevInfo is used to facilitate backward compatibility with legacy-system devices, analogous operation can be described with respect to signaling generated to effectuate other tasks.

During operation of this embodiment of the present invention, each of the EIA/TIA-683 requests and responses, formed by the legacy server/OTAF 58 and the client device 12-2 is sent over Sync ML DM protocol messages. And, more particularly, here, the Sync ML Exec command is used to specify the process in the client device 12-2 that is to be invoked for a specific TIA/EIA-683 request. The signaling shown in the message sequence diagram 102 is representative of signaling that effectuates a TIA/EIA-

683 SSPR update using a Sync ML-based DM protocol. Analogous procedures are carried out to effectuate other TIA/EIA-683 message generations.

First, and as indicated by the segment 104, the legacy server/OTAF 58 generates an SSPR download request message for delivery to the mobile node 12. The format of the SSPR message is conventional, and the types of PRL-related data that is permitted to  
5 populate the data field of such a message is set forth, e.g., in Section 4.5.1.9 of the IS-683 standard, promulgated by the TIA/EIA.

A protocol capability request message is also generated. That is, the TIA/EIA-683683, legacy server/OTAF sends, indicated within the signaling represented by the  
10 arrow 106, a protocol capability message over a Sync ML DM protocol message. The message is here indicated to be package number zero. Subsequent to delivery of the message to the mobile node, the Sync ML DevMan client at the mobile node sends a protocol capability response to the DevMan server 64. The response is indicated to be  
15 package number one in the signaling represented by the arrow 106. The response indicates whether the DevMan-capable mobile node supports legacy TIA/EIA-683 OTASP/OTAPA (over the air service provisioning/over the air parameter administration) formatted communications.

In an alternate implementation, a database is maintained at the DM server 64. The database contains information regarding the protocol capability of each Sync ML  
20 DM-capable mobile node, such as the mobile node 12. Generation of the SSPR download request message is obviated as the contents of the database are instead accessed.

And, in another alternative embodiment, if the protocol capability parameters are included in DevInfo, and the DevInfo is sent to the Sync ML DM server as part of the  
25 package number one before every Device Management session, the need otherwise to generate the SSPR download request message is analogously also obviated.

If the device supports the TIA/EIA-683 OTASP/OTAPA-formatted communications, the Sync ML DM server 64 intercepts the SSPR download request message, indicated by the segment 104, and the message is encapsulated into a Sync ML  
30 protocol message. The encapsulation is performed, as indicated by the block without changing the format of the SSPR request. And, the Exec command in the Sync ML

message points to the server in the mobile node 12 that is to be invoked for handling the SSPR download request message. And, the name of the server is, e.g., specified in the Target element. One manner by which the name of the process or server at the mobile node that handles the TIA/EIA-683 messages is provided to the DM server is pursuant to the signaling represented by the arrow 106. That is, during the protocol negotiation phase, the mobile node sends a list of data pairs to the Sync ML as part of the package number one of the response indicated as part of the signaling represented by the arrow 106. The data pairs indicate service names paired together with names of servers handling a service.

The Sync ML DM server 64 sends, as indicated by the segment 112, the message formed thereat, over the air (OTA), to the mobile node 12 as part of a Sync ML DM package, here identified as package number two. The message is sent, for instance, over a TCP (transport control protocol) transport layer or another selected transport mechanism that is supported by Sync ML. The Sync ML protocol message includes an Exec statement that points to the process to be invoked to process the SSPR download request message. The message is delivered to the Sync ML DM client device 12-1. When the Exec command is executed, as indicated by the block 114, the Sync ML DM client device passes the encapsulated SSPR message, as indicated by the segment 116, to the client device 12-2.

The client device 12-2 invokes, as indicated by the block 118, the PRL server to complete a PRL update in a semi-permanent memory of the device. And, subsequent to completion of the update, the client device 12-2 forms an SSPR download response message and provides, as indicated by the segment 122, the message to the client device 12-1. The format of the SSPR download response message is conventional, and details of the format are set forth, e.g., in section 3.5.1.9 of the TIA/EIA-683 standard. If the PRL update is not successfully completed, the SSPR response message includes an appropriate error code to indicate such failure. The error code is set forth, e.g., in Section 3.5.1.2-1 of the IS-683 operational specification.

The Sync ML DM client device 12-1 encapsulates the response message into a Sync ML protocol response message and sends the message, as indicated by the segment 124 over the air to the Sync ML DM server 64, as indicated by the package number

three. If errors occur during execution of the exec command, the message also includes, e.g., error codes set forth in the specified Sync ML specification.

And, when the message is delivered to the Sync ML DM server 64, the SSPR download response message is extracted from the encapsulated message. And, the SSPR  
5 download response message is forwarded, as indicated by the segment 126, to the legacy server/OTAF 58.

Client-initiated device management can also be performed. When the device management is client-initiated, the DevInfo is sent in package number one, generated during the signaling indicated by the arrow 106, at the beginning of a device  
10 management session.

Various parameters are communicated by the client device 12 to the device management server 64 as part of the package number one or the package number three pursuant to over-the-air management of a CDMA mobile node, using Sync ML protocol. These parameters are placed under a DevInfo node of a Sync ML DM management tree  
15 maintained at the mobile node.

For instance, an exemplary parameter is the 'ID' (identification) parameter. This parameter is the fixed identifier of the device. E.g., the fixed identifier is formed of a thirty-two bit, electronic serial number (ESN) or the device, or other appropriate identifier, such as a proposed mobile equipment identity (MEID).

20 Another exemplary parameter is a CDMA\_PROT\_PREF parameter. This parameter identifies the protocol preference of the mobile node. For instance, the value of the parameter indicates whether the current preference is management using a 3GPP2 C.S0016-A/TIA/EIA-683 messaging over the Sync ML protocol.

A CDMA\_PROV\_CAP parameter identifies the provisioning capabilities of the  
25 mobile node. That is, the value of the parameter indicates whether backward compatibility capabilities are present. The value of the parameter specifies the legacy features that are supported by the mobile node. Details associated with this parameter are found, for instance, in Section 3.5.1.7 of the 3GPP2 C.S0016-A/RIA/EIA-683. Another exemplary parameter is the CDMA\_IMSI\_M parameter. This parameter forms  
30 the IMSI\_M value. The IMSI\_M is the international mobile subscriber identity that contains a mobile identification number (MIN) as a portion thereof, namely in the lower

ten digits thereof. And, another exemplary parameter is the CDM\_BAND\_MODE\_CAP parameter. This parameter is set to values to indicate the band and mode capabilities that are supported by the mobile node. Table 3.5.1.7-2 of the 3GPP2 C.S0016-A/TIA/EIA-683B contains additional information related to this parameter.

5           Thereby, through operation of an embodiment of the present invention, bearer specific device information is provided by the mobile node to a management server to provide the server with information permitting the server to be better aware of the features and protocols that are supported at the mobile node, thereby to permit better management of bearer dependent features.

10           The previous descriptions are of preferred examples for implementing the invention, and the scope of the invention should not necessarily be limited by this description. The scope of the present invention is defined by the following claims:

We claim:

1. In a radio communication system defining a communication network and having a mobile node forming a portion thereof, the communication network managed by a network manager, an improvement of apparatus for the mobile node for facilitating  
5 retrieval of capability indicia of the mobile node, the capability indicia representative of a dynamically alterable mobile node capability related to objects maintained thereat, and the mobile node capability independently alterable, said apparatus comprising:  
a detector coupled to receive indications of a request for capability indicia associated with a selected set of the objects maintained at the mobile node and at a  
10 selected level of detail, said detector for detecting values representative of which selected set for which the capability indicia is requested and the selected level of detail that is requested; and  
a retriever operable responsive to detection of the request by said detector, said retriever for retrieving the capability indicia at the selected level of detail indicated in  
15 the request.
2. The apparatus of claim 1 wherein the request to which said detector is coupled to receive the indications thereof comprises a self-generated request, formed at the mobile node.
3. The apparatus of claim 2 wherein the self-generated request is generated  
20 automatically responsive to change to the objects maintained at the mobile node.
4. The apparatus of claim 2 wherein the mobile node is capable of roaming operation and wherein the self-generated request is generated responsive to roaming operation of the mobile node.
5. The apparatus of claim 1 wherein the capability 1 wherein the capability  
25 indicia comprises a semantic meaning associated with an object maintained at the mobile node.



6. The apparatus of claim 1 wherein the capability indicia comprises bearer-specific device information associated with the mobile node, and wherein the capability indicia retrieved by said retriever comprises the bearer-specific device information.

7. The apparatus of claim 6 wherein the communication system utilizes Sync ML (Sync Mark-up Language) protocol and wherein the indications of the request to which said detector is coupled to receive comprises a Sync ML-formatted message.

8. The apparatus of claim 7 wherein the communication network comprises a Sync ML Device management server and wherein the Sync ML-formatted message is generated by the Sync ML Device Management server.

9. The apparatus of claim 7 wherein the bearer-specific device information comprises indicia associated with the selected set of objects, the select set of objects associated with a specific management task.

10. The apparatus of claim 7 wherein the Sync ML-formatted message comprises a Sync ML Exec command.

11. In a radio communication system defining a communication network having a mobile node forming a portion thereof, the communication network managed by a network manager, an improvement of apparatus for facilitating retrieval by the network manager of capability indicia of the mobile node, the capability indicia representative of a dynamically alterable mobile node capability related to objects maintained thereat, the mobile node capability alterable independent of the network manager, said apparatus comprising:

a network-positioned request generator operated by the network manager, said network-positioned request generator for generating a request message for communication to the mobile node, the request message requesting the capability indicia related to a selected set of the objects maintained at the mobile node and requesting the capability indicia to be provided at a selected level of detail.

12. In the radio communication system of claim 11, a further improvement of apparatus for the mobile node also for facilitating the retrieval of the capability indicia of the mobile node by the network manager, said apparatus comprising:

a detector coupled to receive indications of delivery at the mobile node of the request message generated by said network-positioned request generator, said detector for detecting values representative of which selected set for which the capability indicia is requested and the selected level of detail that is requested; and

a retriever operable responsive to detection of the request message by said detector, said retriever for retrieving the capability indicia at the selected level of detail indicated in the request message.

13. The apparatus of claim 12 further comprising a response generator operable responsive to said retriever, said response generator for generating a response to the request message, the response containing values of the capability indicia at the selected level of detail retrieved by said retriever.

14. The apparatus of claim 13 wherein said response generator comprises a formatter for formatting the value retrieved by said retriever.

15. The apparatus of claim 14 wherein said response generator comprises a compressor for compressing the values retrieved by said retriever pursuant to a compression scheme.

16. The apparatus of claim 11 wherein the objects maintained at the mobile node define a logic object-tree structure, the logic-tree structure having at least a first sub-tree structure, and wherein the selected set of the objects of which the capability indicia thereof is requested in the request message generated by said request generator comprises at least one sub-tree structure.

17. The apparatus of claim 16 wherein each sub-tree structure is defined in terms of a Device Description Framework (DDF) description of objects and wherein the capability indicia requested by said request generator requests is related to the DDF description of objects.

18. The apparatus of claim 11 wherein the request message generated by said request generator requests the capability indicia associated with a first selected set of

the objects at a first selected level of detail and the capability indicia associated with at least a second selected set of the objects at a second selected level of detail.

19. The apparatus of claim 18 wherein the objects maintained at the mobile node define a logic -tree structure, the logic-tree structure having a first sub-tree structure and at least a second sub-tree structure and wherein the request message generated by said request generator requests the capability indicia associated with the first sub-tree structure and with the second sub-tree structure.

20. The apparatus of claim 12 wherein the objects maintained at the mobile node define a logic -tree structure having at least a first sub-tree structure, the logic-tree structure dynamically configurable at the mobile node independent of the network manager, and wherein said retriever retrieves the capability indicia associated with the sub-tree structure identified in the request message detected by said detector.

21. The apparatus of claim 11 wherein the radio communication system comprises a cellular communication system, wherein the network manager comprises a system operator, wherein the system operator operates the cellular communication system by way of a management server, and wherein said network-positioned request generator is embodied at the management server.

22. The apparatus of claim 16 wherein the objects are each defined by a Uniform Resource Identifier (URI) and wherein the capability indicia requested in the request message generated by said request generator are related to the Uniform Resource Identifiers associated with at least one object of the selected subset.

23. In a method for communicating in a radio communication system defining a communication network having a mobile node forming a portion thereof, the communication network managed by a network manager, an improvement of a method for facilitating retrieval by the network manager of capability indicia of the mobile node, the capability indicia representative of a dynamically-alterable mobile node capability related to objects maintained thereat, the mobile node capability alterable independent of the network manager, said method comprising:

generating a request message for communication to the mobile node, the request message requesting the capability indicia related to a selected set of the objects

maintained at the mobile node and requesting the capability indicia to be provided at a selected level of detail; and

delivering the request message to the mobile node.

24. The method of claim 23 further comprising the operation of:

5 detecting at the mobile node values representative of which selected set for which the capability indicia is requested in the request message generated during said operation of generating.

25. The method of claim 24 further comprising the operation of:

10 retrieving the capability indicia at the selected level of detail indicated in the request message.

26. The method of claim 25 further comprising the operation of:

generating a response to the request message, the response containing values of the capability indicia at the selected level of detail retrieved during said operation of retrieving.

15 27. The method of claim 26 wherein the response generated during said operation of generating is formatted pursuant to a selected formatting scheme.

28. The method of claim 27 wherein the values used to populate the response generated during said operation of generating are compressed according to a selected compression scheme.

20 29. In a radio communication system defining a communication network and having a mobile node forming a portion thereof, the communication system utilizing a Sync ML (Sync Mark-Up Language) protocol, the communication network managed by a network manager, an improvement of a method for facilitating retrieval by the network manager of capability indicia of the mobile node, the capability indicia representative of  
25 a dynamically-alterable mobile node capability related to objects maintained thereat, the mobile node capability alterable independent of the network manager, said method comprising:

a detector coupled to receive indications of a Sync ML-formatted request for capability indicia associated with a select set of the objects maintained at the mobile  
30 node, the selected set of objects identifying management capability information of the

mobile node, said detector for detecting values representative of which selected set for which the capability indicia is request; and

a retriever operable responsive to detection of the Sync ML-formatted request by said detector, said retriever for retrieving the capability indicia indicated in the request.

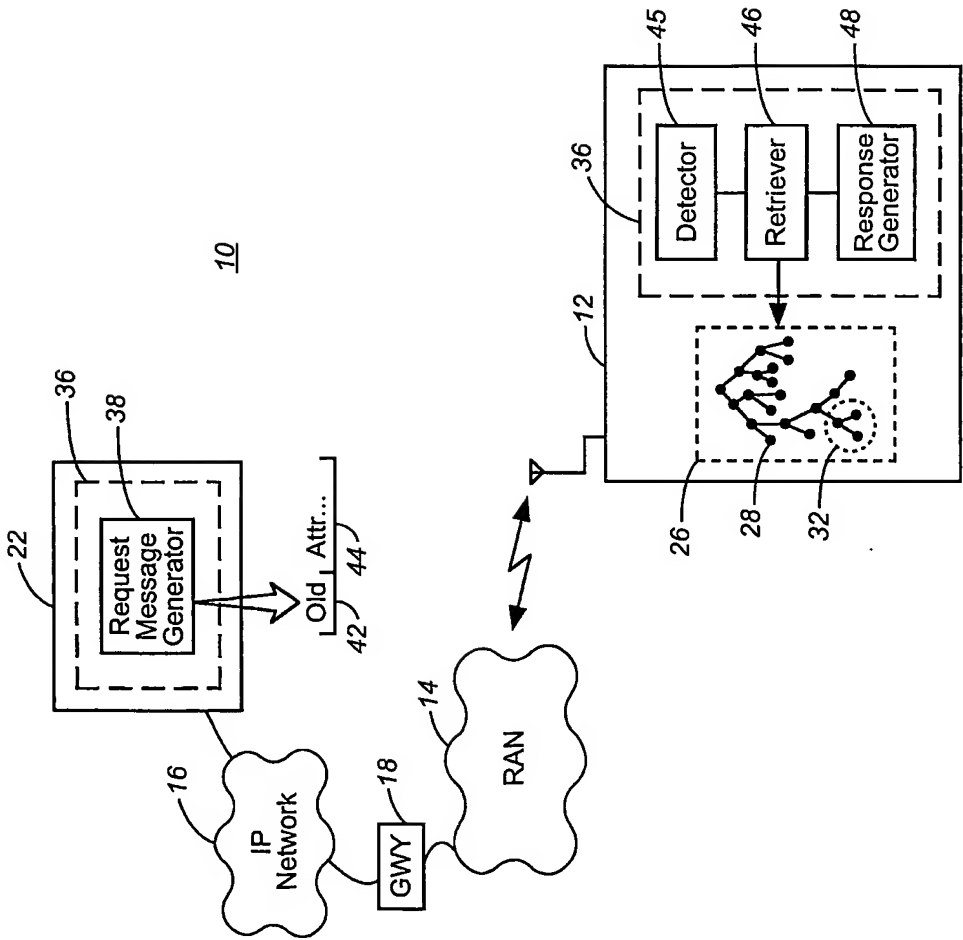


FIG. 1

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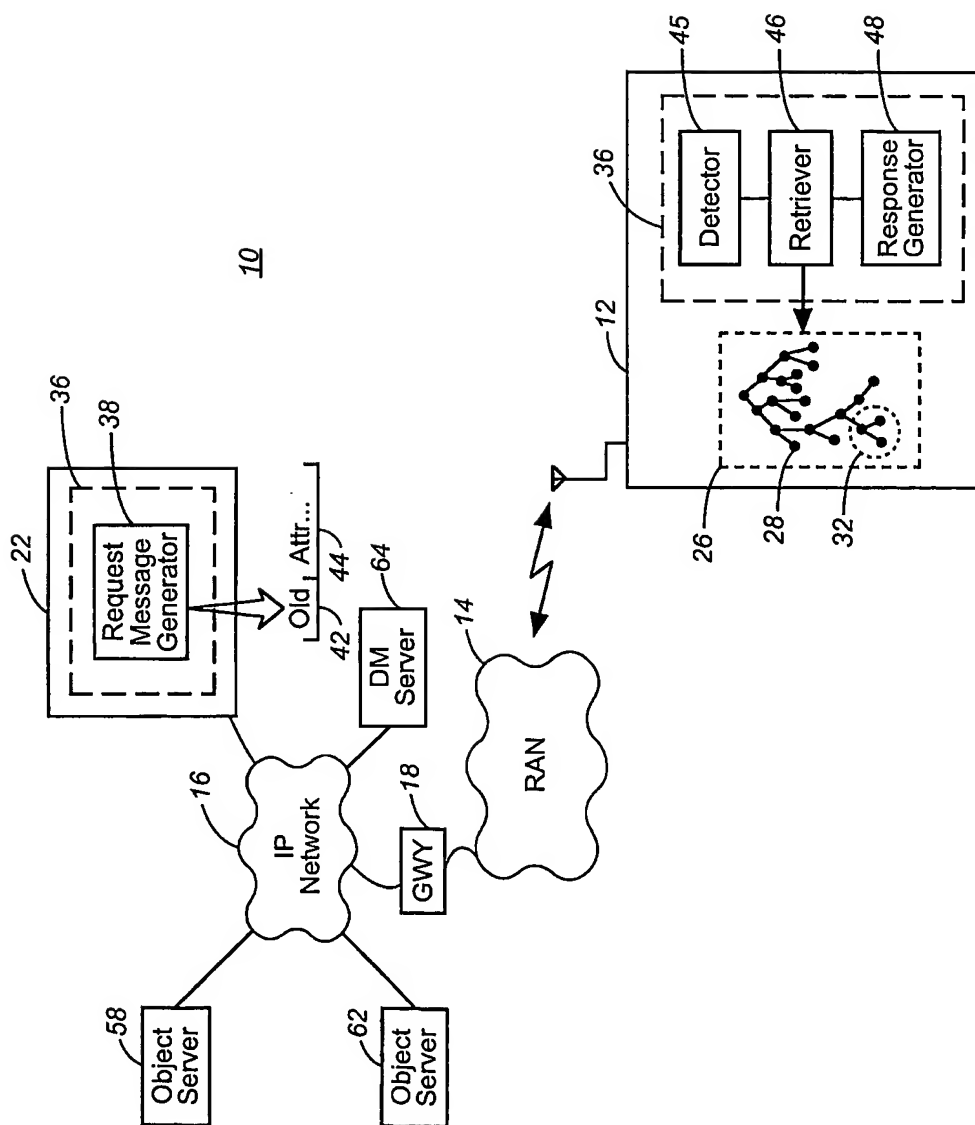


FIG. 2

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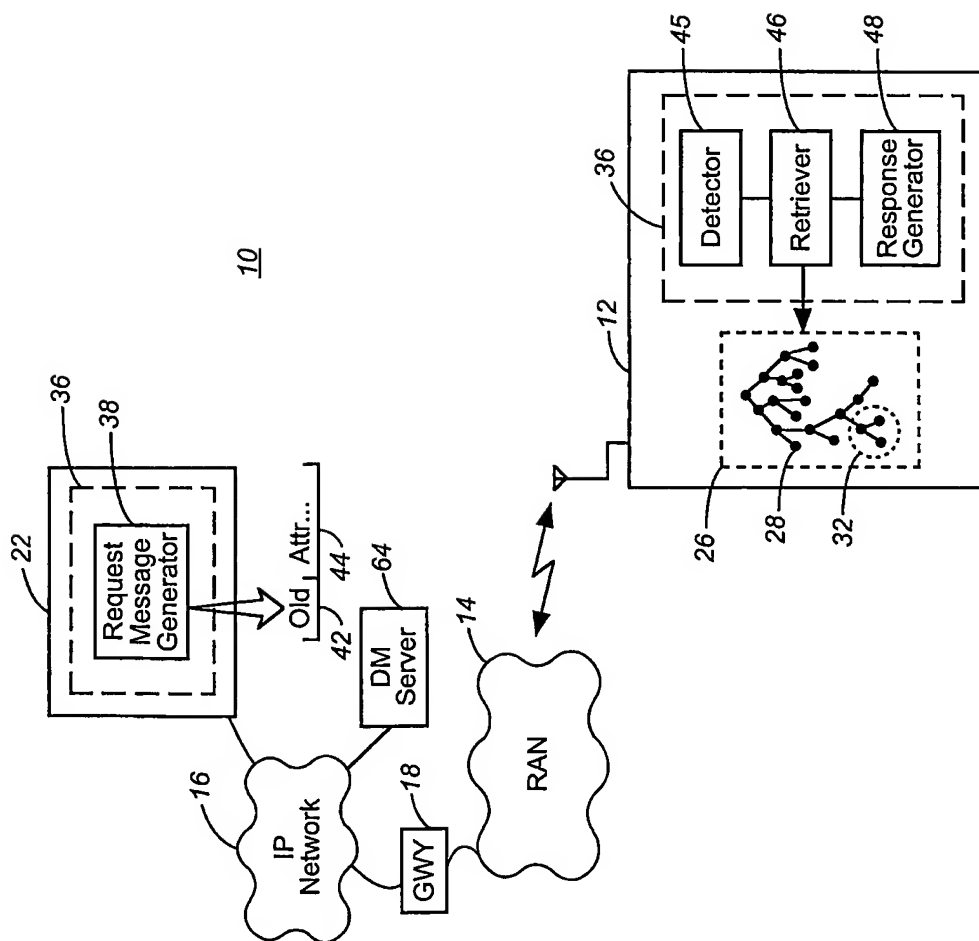
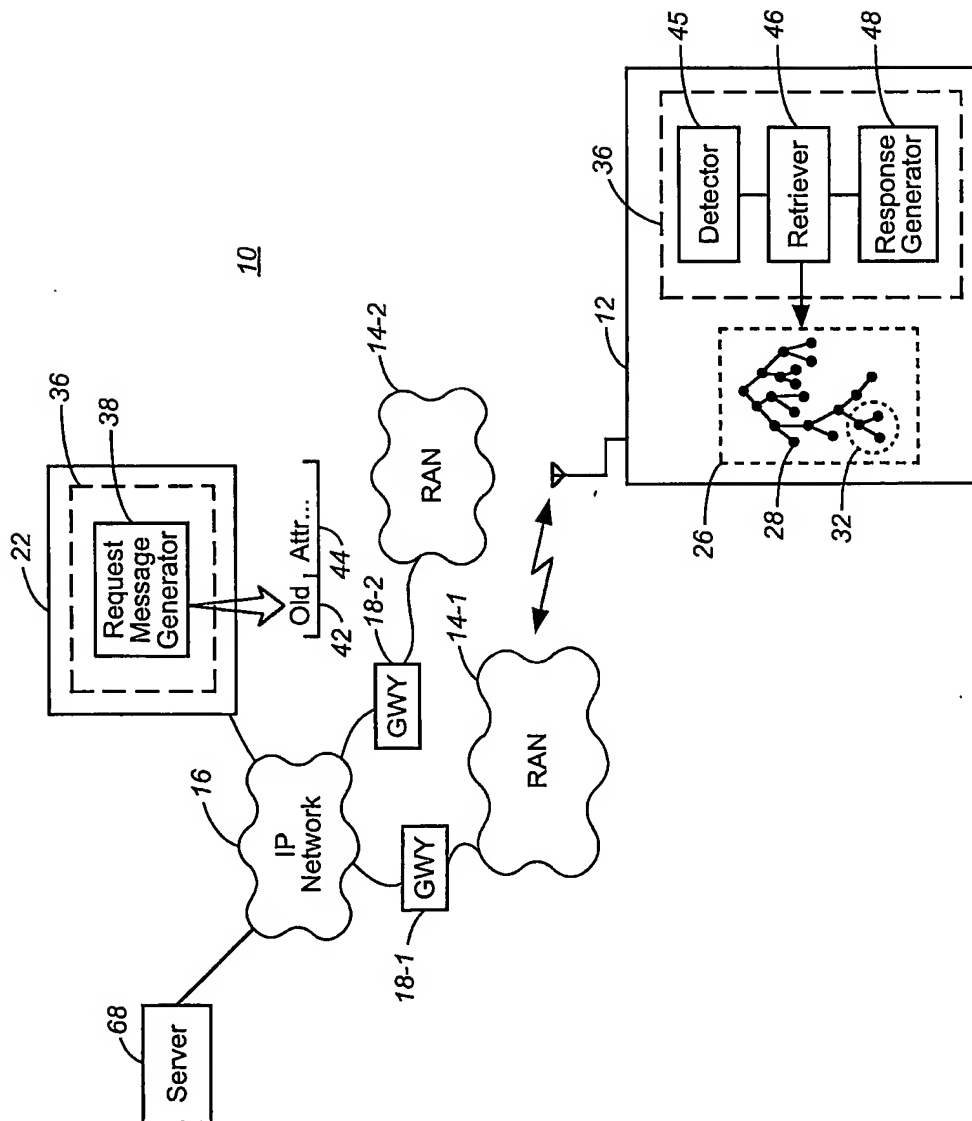


FIG. 3





**FIG. 4**

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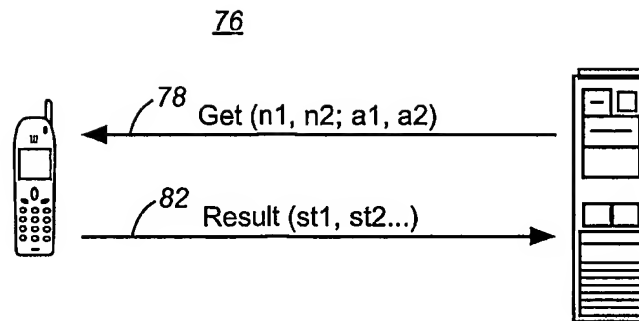


FIG. 5

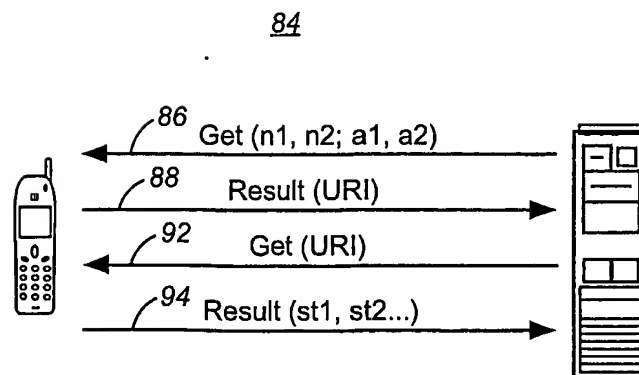


FIG. 6

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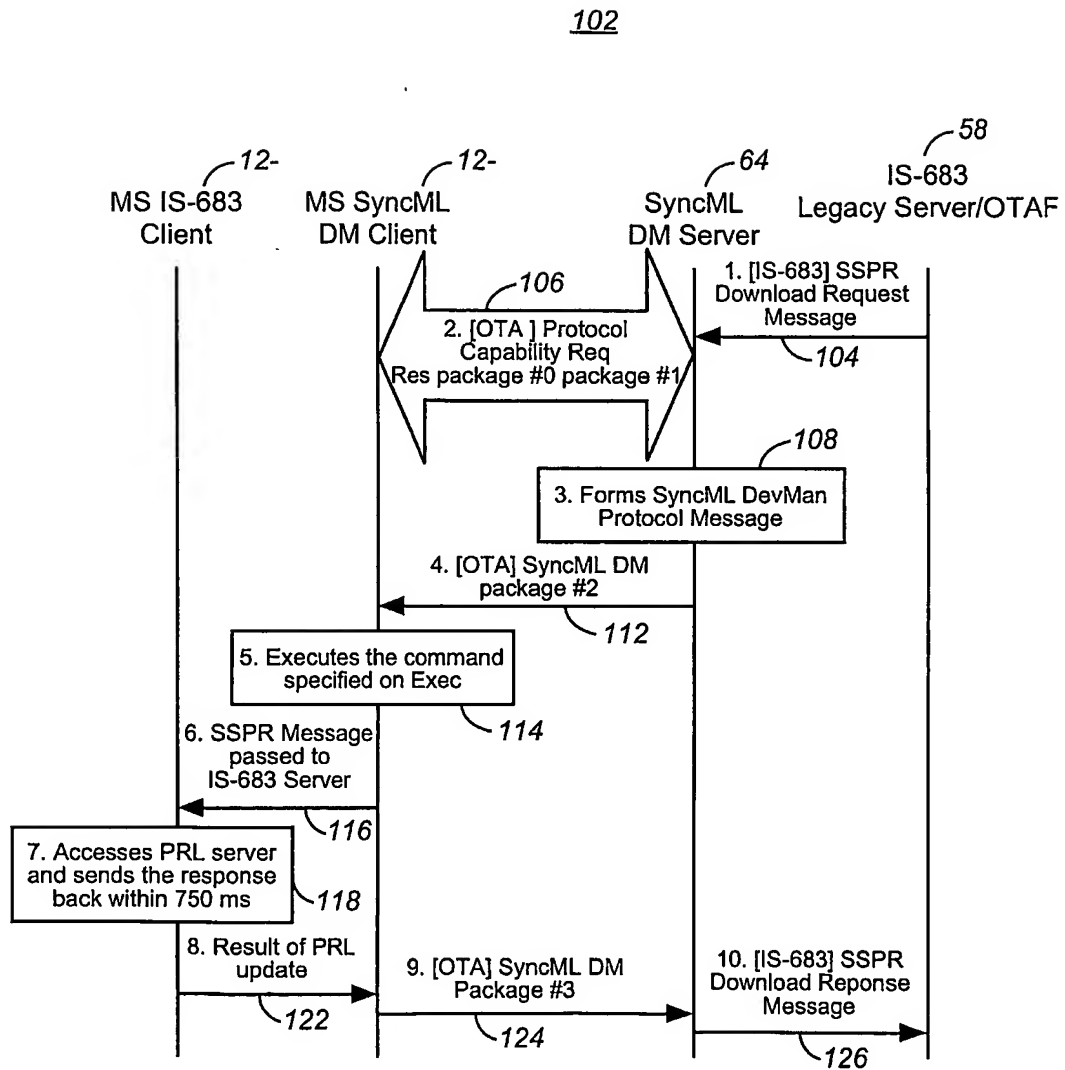


FIG. 7

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US02/38324

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(7) : H04L 12/28, 12/66; H04B 7/216; H04Q 7/20 US CL : 370/254, 335, 352, 408; 455/432, 433 According to International Patent Classification (IPC) or to both national classification and IPC					
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) U.S. : 370/254, 335, 352, 408; 455/432, 433 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EAST search terms: mobile IP; logic tree, network configuration					
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>					
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.			
A, P	US 6,445,922 B1 (HILLER et al.) 03 September 2002, see entire document.	1-29			
A, P	US 6,466,552 B1 (HAUMONT) 15 October 2002, see entire document.	1-29			
A, E	US 6,501,741 B1 (MIKKONEN et al.) 31 December 2002, see entire document.	1-29			
A, P	US 6,374,112 B1 (WIDEGREN et al.) 16 April 2002, see entire document.	1-29			
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.					
<table border="0"> <tr> <td colspan="2">           * Special categories of cited documents:            "A" document defining the general state of the art which is not considered to be of particular relevance            "E" earlier document published on or after the international filing date            "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)            "O" document referring to an oral disclosure, use, exhibition or other means            "P" document published prior to the international filing date but later than the priority date claimed         </td> <td>           "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention            "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone            "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art            "Z" document member of the same patent family         </td> </tr> </table>			* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "Z" document member of the same patent family
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "Z" document member of the same patent family			
Date of the actual completion of the international search 11 FEBRUARY 2003		Date of mailing of the international search report 19 MAR 2003			
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230		Authorized officer HANH NGUYEN Telephone No. (703) 306-5445			

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US02/38324

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.